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DB=PGP	B, USPT, USOC, EPAB, JPAB, DWPI; PLUR=YE	ES; OP=ADJ	
<u>L9</u>	L8 same 17	43	<u>L9</u>
<u>L8</u>	nucleic acid or plasmid or dna	252175	<u>L8</u>
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File: EPAB

Nov 19, 1998

PUB-NO: WO009851278A2

DOCUMENT-IDENTIFIER: WO 9851278 A2

TITLE: HIGH EFFICIENCY ENCAPSULATION OF CHARGED THERAPEUTIC AGENTS IN LIPID

VESICLES

PUBN-DATE: November 19, 1998

INVENTOR-INFORMATION:

NAME

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SEMPLE, SEAN C

KLIMUK, SANDRA K

HARASYM, TROY

HOPE, MICHAEL J

ANSELL, STEVEN M

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SCHERRER, PETER

DEBEYER, DAN

INT-CL (IPC): A61 K 9/00 EUR-CL (EPC): A61K009/127

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File: DWPI

Aug 12, 1999

DERWENT-ACC-NO: 1999-508459

DERWENT-WEEK: 200272

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TITLE: Systemic delivery of serum-stable nucleic acid-lipid particles for cancer therapy

Basic Abstract Text (1):

NOVELTY - A serum-stable <u>nucleic acid</u> fully <u>encapsulated</u> within a <u>lipid particle</u> is administered by injection at a site distal to a neoplasia being treated in a mammal.

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L9: Entry 36 of 43

File: USPT

May 30, 1995

DOCUMENT-IDENTIFIER: US 5419914 A TITLE: Phospholipid analogue vesicle

## Brief Summary Text (11):

The invention comprises a lipid particle including a succinimidyl moiety, preferably in the form of a N-ethylsuccinimidylthiol (NEST) moiety, extending from the surface of the particle in the absence of an extending ligand conjugate. The succinimidyl moiety is attached to a phospholipid molecule to form a succinimidyl phospholipid analogue, preferably in the form of a NEST-lipid entity and most preferably in the form of a N-(N-ethylsuccinimidylthio)phosphatidylethanolamine (NESTPE) moiety, specifically N-(N-ethylsuccinimidylthio) distearoylphosphatidylethanolamine (NEST-DSPE). At least one such phospholipid analogue is included in the outer phospholipid layer of the lipid particle with the succinimidyl moiety extending therefrom, to form a phospholipid analogue particle, which is capable of permitting the encapsulated contents of the lipid particle (e.g., a liposome) to enter the cell cytoplasmically in the essential absence of lysosomal degradation. Active agents which may be encapsulated in the lipid particles of the invention, and delivered intact to the cytoplasm, include lysosomal sensitive therapeutics (such as cytosine arabinosine) proteins and peptides, nucleic acids, oligonucleotides, genes, plasmids and other lysosomal sensitive agents.

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L9: Entry 33 of 43

File: USPT

Jul 11, 2000

DOCUMENT-IDENTIFIER: US 6086913 A

TITLE: Liposomal delivery of AAV vectors

## CLAIMS:

- 6. The composition of claim 1, wherein the lipid formulation comprises lipids complexed with the vector by
- a) combining the vector with cationic lipids in a detergent solution to provide a coated vector-lipid complex;
- b) contacting noncationic lipids with the coated vector-lipid complex to provide a solution comprising detergent, a vector-lipid complex and noncationic lipids; and
- c) removing the detergent from the solution of step b to provide a solution of serum-stable plasmid-lipid particles, wherein the plasmid is encapsulated in a lipid bilayer and the particles are serum-stable and have a size from about 50-150 nm.
- 7. The composition of claim 1, wherein the lipid formulation comprises lipids complexed with the vector by

preparing a mixture comprising cationic lipids and noncationic lipids in an organic solvent;

contacting an aqueous solution of said vector with the mixture to provide a clear single phase and

removing the organic solvent to provide a suspension of <u>plasmid-lipid particles</u>, <u>wherein the plasmid is encapsulated</u> in a lipid bilayer, and the particles are stable in serum and have a size of about 50-150 nm.

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